

THE LANCET

Respiratory Medicine

Supplementary appendix 2

This appendix formed part of the original submission and has been peer reviewed.
We post it as supplied by the authors.

Supplement to: Wu X, Liu X, Zhou Y, et al. 3-month, 6-month, 9-month, and 12-month respiratory outcomes in patients following COVID-19-related hospitalisation: a prospective study. *Lancet Respir Med* 2021; published online May 5. [http://dx.doi.org/10.1016/S2213-2600\(21\)00174-0](http://dx.doi.org/10.1016/S2213-2600(21)00174-0).

1 **Appendix Methods**

2 **High-resolution Computed Tomography (HRCT) scans and image analysis**

3 Patients underwent chest non-contrast enhanced CT examinations in the supine position
4 and with breath-holding following inspiration (GE Healthcare Optima CT680). The
5 technical parameters included a 64-section scanner with 1 mm collimation at 5 mm
6 intervals. Images were obtained with both mediastinal (width 350 HU; level 50 HU)
7 and parenchymal (width 1500 HU; level -700 HU) window settings. The follow-up
8 patients completed HRCT scan testing every 3 months.

9 For imaging evaluations, 2 radiologists, with 5 and 27 years of thoracic radiology
10 experience, respectively, reviewed the images independently, with a final finding
11 reached by consensus when there was a discrepancy. They were blinded to the clinical
12 information or clinical progress of the patients, except for the knowledge that these
13 were cases of COVID-19 patients.

14 The pneumonia CT scores of patients during hospitalisation were recorded with a
15 method described previously¹. In brief, the CT features in hospitalised COVID-19
16 patients included ground glass opacity (GGO), consolidation, air bronchogram, nodular
17 opacities and pleural effusion. The CT scans were scored on the axial images. The
18 extent of involvement of each abnormality was assessed independently for each of 3
19 zones: upper (above the carina), middle (below the carina and above the inferior
20 pulmonary vein), and lower (below the inferior pulmonary vein). The CT findings were
21 graded on a 3-point scale: normal attenuation (1), GGO (2), and consolidation (3). Each

lung zone, with a total of 6 lung zones in each patient, was assigned a following scale according to distribution of the affected lung parenchyma: normal (0), <25% abnormality (1), 25–50% abnormality (2), 50–75% abnormality (3), and >75% abnormality (4). The 4-point scale of the lung parenchyma distribution was then multiplied by the radiologic scale described above. Points from all zones were added for a final total cumulative score (HRCT pneumonia score during hospitalisation), with value ranging from 0 to 72. The peak pneumonia CT score is the highest pneumonia CT score for a patient during COVID-related hospitalisation.

To analyse follow-up HRCT scans, HRCT findings were initially evaluated based on key features² and then scored based on a method adapted from Ichikado and colleagues³, here named HRCT follow-up score, which allowed us to evaluate interstitial changes in lungs³. Briefly, the lungs were divided into 6 zones (upper, middle, and lower on both sides), each zone was evaluated separately and for each zone the CT findings were graded on a 6-point scale: areas with (1) normal attenuation, (2) GGO without traction bronchiectasis or bronchiolectasis, (3) consolidation without traction bronchiectasis or bronchiolectasis, (4) GGO with traction bronchiectasis or bronchiolectasis, (5) consolidation with traction bronchiectasis or bronchiolectasis, and (6) honeycombing. The upper lung zone was defined as the area of the lung above the level of the tracheal carina, the lower lung zone was defined as the area of the lung below the level of the inferior pulmonary vein, and the middle lung zone was defined as the area of the lung between the upper and lower zones. Abnormal findings and the

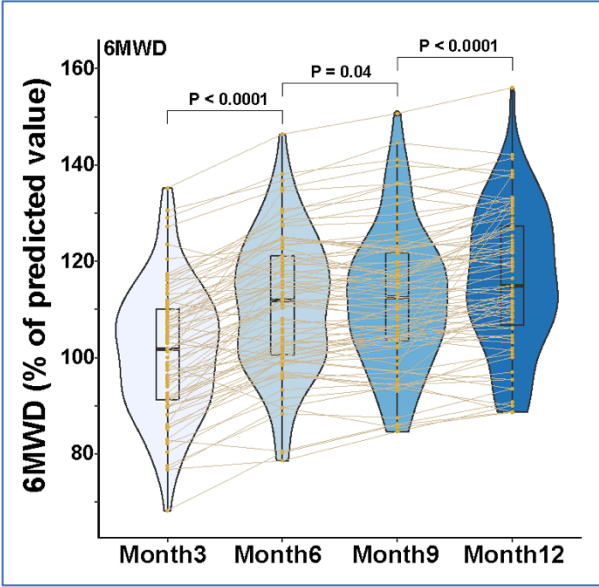
43 extent of lung involvement was evaluated visually and independently for each of the 6
44 zones. The scores were based on the percentage of the lung parenchyma that showed
45 evidence of the abnormality and were estimated to the nearest 5 % of parenchymal
46 involvement. Scores from all zones were added for a final total cumulative score, with
47 value ranging from 6 to 36.

48 **References:**

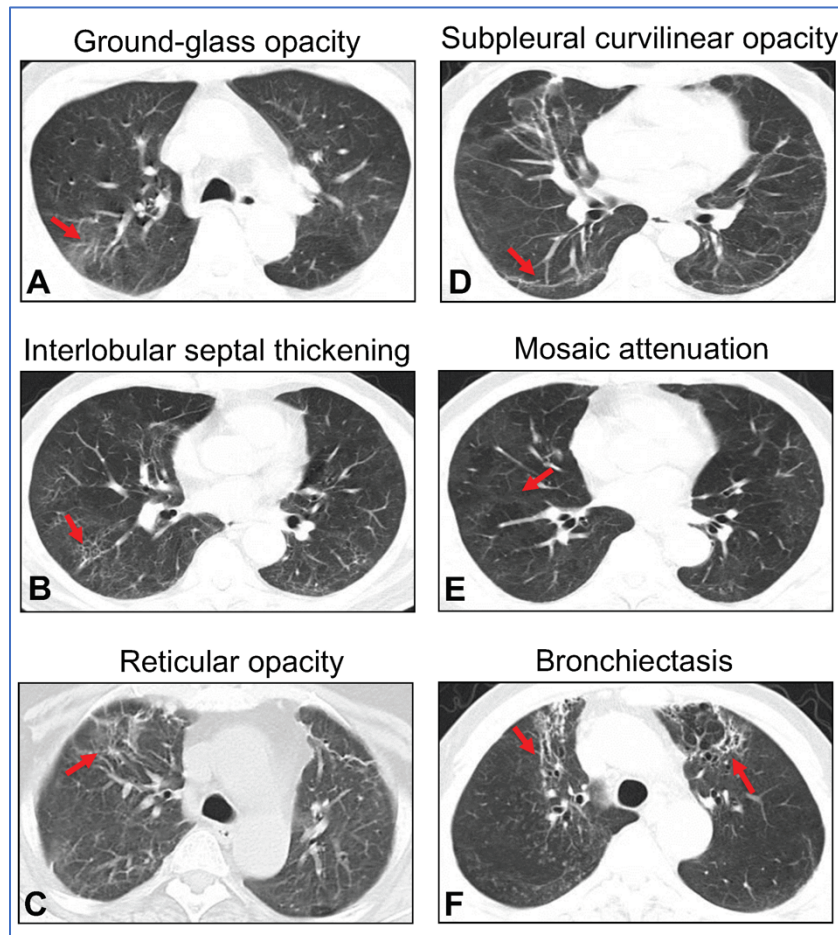
- 49 1. Liu X, Zhou H, Zhou Y, et al. Temporal radiographic changes in COVID-19
50 patients: relationship to disease severity and viral clearance. *Scientific Reports*
51 2020; **10**(1): 10263.
- 52 2. Hansell DM, Bankier AA, MacMahon H, McLoud TC, Muller NL, Remy J.
53 Fleischner Society: glossary of terms for thoracic imaging. *Radiology* 2008;
54 **246**(3): 697-722.
- 55 3. Ichikado K, Suga M, Muller NL, et al. Acute interstitial pneumonia:
56 comparison of high-resolution computed tomography findings between survivors
57 and nonsurvivors. *American journal of respiratory and critical care medicine* 2002;
58 **165**(11): 1551-6.

59 **Appendix Figures**

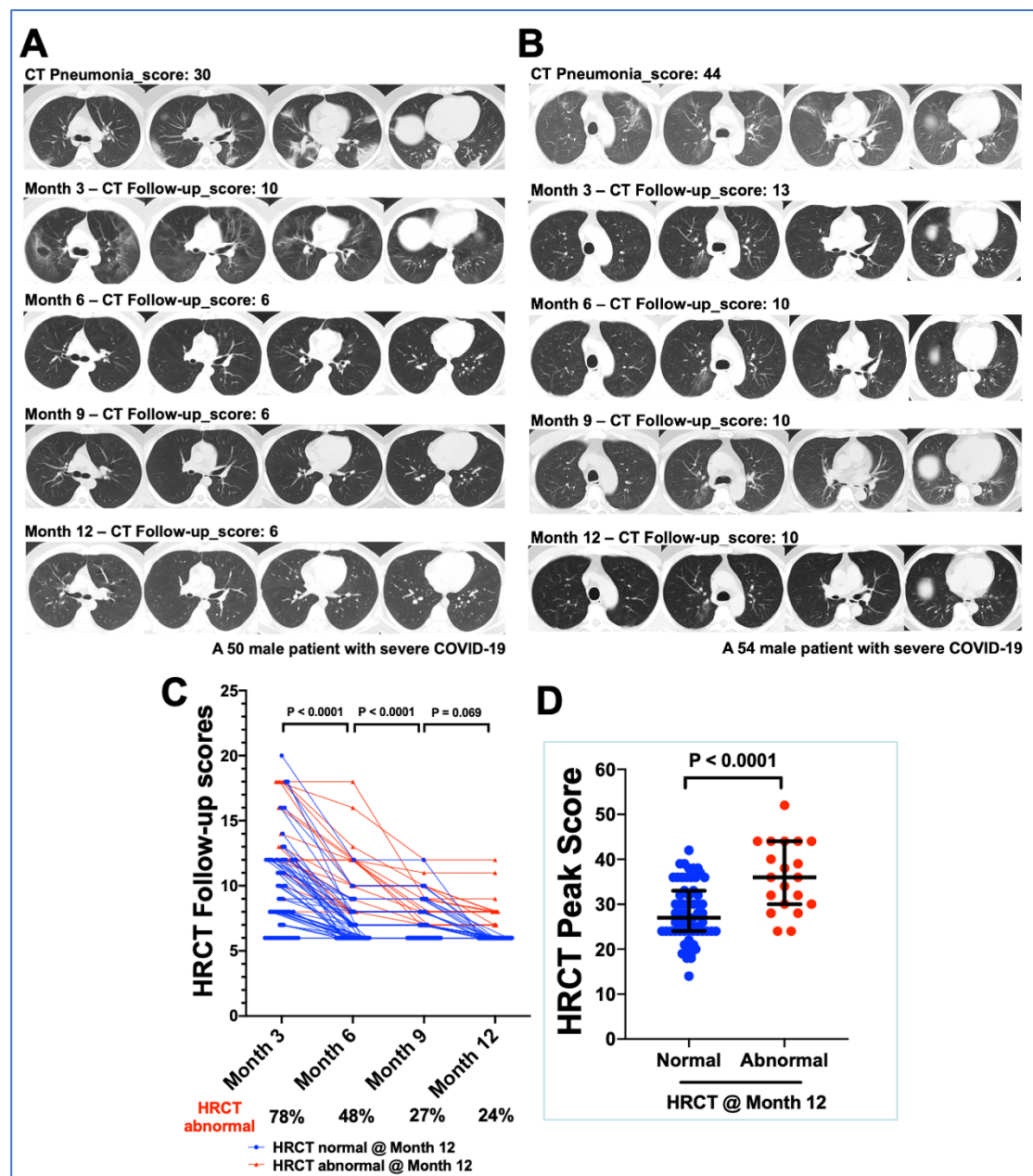
60 **Appendix Figure S1.** Impact of severe COVID-19 on follow-up 6-minute walking
61 distance (6MWD) test. Graph showing temporal changes in 6MWD at 3, 6, 9 and 12
62 months after discharge. Median, IQR (interquartile range) and P values are indicated.
63 Values are % of predicted.
64



Appendix Figure S2. Radiographic features of HRCT scans following severe COVID-19 related hospitalisation. Representative HRCT images showing (A) ground-glass opacity; (B) interlobular septal thickening; (C) reticular opacity; (D) subpleural curvilinear opacity; (E) mosaic attenuation; and (F) bronchiectasis, highlighted with red arrows.



Appendix Figure S3. Temporal changes in HRCT scans following severe COVID-19 related hospitalisation. Representative temporal radiographic changes in a 50 male patient with severe COVID-19 with all radiographic changes resolving by 6 months in (A); whilst in (B) a 54 male patient with severe COVID-19 where radiographic changes persist at 12 months. (C) Graph showing temporal HRCT Follow-up score changes in patients with normal (score = 6, blue) or abnormal (score ≥ 7 , red) HRCT scans at 12 months after discharge. P values are indicated. (D) Graph showing peak HRCT Pneumonia score during hospitalisation in patients with normal vs. abnormal HRCT scans at 12 months after discharge. Data are median and IQR (interquartile range) with P values indicated.



Appendix Tables

Appendix Table S1. Results of serial pulmonary function tests among recovered severe COVID-19 patients who did not require mechanical ventilation (N = 83).

Parameter	@ Month 3	@ Month 6	@ Month 12	P value ^a	P value ^b
DLCO (% of predicted)	77 (67 ~ 87)	76 (68 ~ 90)	88 (78 ~ 101)	0.691	< 0.0001
FEF _{25-75%} (% of predicted)	91 (64 ~ 109)	89 (67 ~ 103)	89 (76 ~ 111)	1	0.032
FEV1/FVC (% of predicted)	81 (77 ~ 84)	81 (78 ~ 84)	82 (79 ~ 86)	1	0.099
FRC (% of predicted)	89 (78 ~ 104)	89 (81 ~ 106)	102 (87 ~ 118)	1	< 0.0001
FVC (% of predicted)	92 (81 ~ 99)	94 (85 ~ 104)	98 (89 ~ 109)	0.002	< 0.0001
FEV1 (% of predicted)	90 (76 ~ 100)	92 (80 ~ 101)	96 (85 ~ 110)	0.206	< 0.0001
RV (% of predicted)	81 (69 ~ 97)	81 (72 ~ 100)	85 (72 ~ 99)	0.963	1
TLC (% of predicted)	87 (77 ~ 98)	91 (82 ~ 98)	92 (87 ~ 100)	0.004	< 0.0001
VC (% of predicted)	91 (81 ~ 103)	95 (83 ~ 104)	98 (90 ~ 111)	0.006	< 0.0001

DLCO, diffusing capacity of the lungs for carbon monoxide; FEF_{25-75%}, forced expiratory flow between 25% and 75% of FVC; FRC, functional residual capacity; FVC, forced vital capacity; FEV1, forced expiratory volume in 1 second; RV, residual volume; TLC, total lung capacity; VC, vital capacity. Values are expressed as median (interquartile range). ^aMonth 6 vs. 3. ^bMonth 12 vs. 6.

Appendix Table S2. Frequency of pulmonary function parameters below normal range in recovered severe COVID-19 patients who did not require mechanical ventilation (N = 83).

	< 60% predicted value			< 80% predicted value		
	@ Month 3	@ Month 6	@ Month 12	@ Month 3	@ Month 6	@ Month 12
DLCO	12 (15%)	11 (13%)	4 (5%)	46 (55%)	45 (54%)	27 (33%)
FRC	6 (7%)	6 (7%)	2 (2%)	23 (28%)	18 (22%)	10 (12%)
FVC	4 (5%)	2 (2%)	0 (0%)	19 (23%)	13 (16%)	9 (11%)
FEV1	6 (7%)	4 (5%)	3 (4%)	25 (30%)	20 (24%)	13 (16%)
RV	12 (15%)	9 (11%)	11 (13%)	38 (46%)	35 (42%)	32 (39%)
TLC	9 (11%)	4 (5%)	3 (4%)	22 (27%)	16 (19%)	12 (15%)
VC	4 (5%)	2 (2%)	1 (1%)	20 (24%)	15 (18%)	9 (11%)

DLCO, diffusing capacity of the lungs for carbon monoxide; FRC, functional residual capacity; FVC, forced vital capacity; FEV1, forced expiratory volume in 1 second; RV, residual volume; TLC, total lung capacity; VC, vital capacity. Values are N (%).

Appendix Table S3. Univariate and multivariate logistic regression analysis in severe COVID-19 patients who did not require mechanical ventilation for risk factors associated with diffusion deficit at 12 months after discharge (N = 83).

	OR (odds ratio)	95% CI (confidence interval)	P - value
Univariate			
<i>Age</i>	1.03	0.99 ~ 1.08	0.140
<i>Gender (Female)</i>	7.14	2.53 ~ 20.16	0.0002
<i>BMI</i>	0.94	0.80 ~ 1.10	0.429
<i>Length of hospital stay</i>	1.00	0.93 ~ 1.07	0.952
<i>Peak CT Pneumonia score during hospitalization</i>	1.00	0.94 ~ 1.06	0.876
<i>HFNC/NIV</i>	1.58	0.62 ~ 4.06	0.339
<i>Oseltamivir</i>	0.75	0.29 ~ 1.92	0.546
<i>Ganciclovir</i>	1.34	0.53 ~ 3.38	0.531
Multivariate			
<i>Age</i>	1.06	1.00 ~ 1.12	0.063
<i>Gender (Female)</i>	8.61	2.83 ~ 26.2	0.0002
<i>Peak CT Pneumonia score during hospitalization</i>	0.98	0.91 ~ 1.05	0.521

BMI, body mass index; HFNC, high-flow nasal cannula; NIV, non-invasive mechanical ventilation.

102 **Appendix Table S4.** HRCT features among recovered severe COVID-19 patients who
 103 did not require mechanical ventilation (N = 83).

104

	@ Month 3	@ Month 6	@ Month 9	@ Month 12	P value ^a	P value ^b	P value ^c
Ground-glass opacity	65 (78%)	38 (46%)	20 (24%)	19 (23%)	< 0.0001	0.010	1
Interlobular septal thickening	28 (34%)	11 (13%)	4 (5%)	4 (5%)	0.006	0.174	1
Reticular opacity	27 (33%)	13 (16%)	3 (4%)	3 (4%)	0.033	0.026	1
Subpleural curvilinear opacity	9 (11%)	4 (5%)	1 (1%)	1 (1%)	0.446	1	1
Mosaic attenuation	2 (2%)	3 (4%)	3 (4%)	3 (4%)	1	1	1
Bronchiectasis	1 (1%)	1 (1%)	1 (1%)	1 (1%)	1	1	1

105 ^aMonth 6 vs. 3. ^bMonth 9 vs.6. ^cMonth 12 vs.9. Values are N (%).

Appendix Table S5. Univariate and multivariate logistic regression analysis in severe COVID-19 patients who did not require mechanical ventilation for risk factors associated with abnormal HRCT at 12 months after discharge (N = 83).

	OR (odds ratio)	95% CI (confidence interval)	P - value
Univariate			
<i>Age</i>	1.02	0.97 ~ 1.07	0.401
<i>Gender</i>	1.86	0.67 ~ 5.13	0.232
<i>BMI</i>	0.92	0.77 ~ 1.10	0.357
<i>Length of hospital stay</i>	1.09	1.01 ~ 1.19	0.031
<i>Peak CT Pneumonia_score during hospitalization</i>	1.18	1.08 ~ 1.29	0.0002
<i>HFNC/NIV</i>	3.10	1.00 ~ 9.55	0.049
<i>Oseltamivir</i>	1.97	0.64 ~ 6.12	0.239
<i>Ganciclovir</i>	1.65	0.59 ~ 4.59	0.337
Multivariate			
<i>Peak CT Pneumonia_score during hospitalization</i>	1.36	1.13 ~ 1.62	0.0009
<i>Length of hospital stay</i>	0.92	0.81 ~ 1.04	0.180
<i>HFNC/NIV</i>	0.24	0.04 ~ 1.63	0.146

BMI, body mass index. HFNC, high-flow nasal cannula; NIV, non-invasive mechanical ventilation.